



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/004,827	01/09/98	PAGE	L 896-213

THOMAS J MCFARLANE  
LUMEN INTELLECTUAL PROPERTY SERVICES  
426 LOWELL AVENUE  
PALO ALTO CA 94301

0212/0526

Lumen 6-198

NOT ASSIGNED

2772

DATE MAILED:

05/26/98

**NOTICE OF INCOMPLETE RESPONSE**  
**Filing Date Granted**

Applicant's response of 5-8-98 has been entered into the record. However, the application still remains incomplete for the following reason(s).

☒ 1. The filing fee has not been received. The amount of \$ 135.00 is due.

☐ 2. The surcharge of \$ \_\_\_\_\_ has not been received.

☒ 3. The ~~declaration~~ Small Entity Statement has not been received.

☐ 4. The oath or declaration is not executed in compliance with 37 CFR 1.64(a) because:

☐ a. The inventor's signature is missing.

☐ b. The signature is missing for inventor(s) \_\_\_\_\_

☐ c. The application is one filed under 37 CFR 1.42, 1.43, or 1.47, and the oath or declaration is not executed by the person(s) qualified to make the oath or declaration.

To prevent **ABANDONMENT** of this application, a complete response is required.

The period for response remains as set forth in the Notice to File Missing Parts dated 4-15-98.  
However, you may obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136(a) by filing a petition accompanied by the appropriate fee (37 CFR 1.17).

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

RECEIVED  
98 JUL 28 AM 8:52  
GROUP 2700

**A copy of this notice MUST be returned with the response.**